

Discriminating Aging Cognitive Decline Spectrum Using PET and Magnetic Resonance Image Features

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Abstract.

Background: The population aging increased the prevalence of brain diseases, like Alzheimer's disease (AD), and early identification of individuals with higher odds of cognitive decline is essential to maintain quality of life. Imaging evaluation of individuals at risk of cognitive decline includes biomarkers extracted from brain positron emission tomography (PET) and structural magnetic resonance imaging (MRI).

Objective: We propose investigating ensemble models to classify groups in the aging cognitive decline spectrum by combining features extracted from single imaging modalities and combinations of imaging modalities (FDG+AMY+MRI, and a PET ensemble).

Methods: We group imaging data of 131 individuals into four classes related to the individuals' cognitive assessment in baseline and follow-up: stable cognitive non-impaired; individuals converting to mild cognitive impairment (MCI) syndrome; stable MCI; and Alzheimer's clinical syndrome. We assess the performance of four algorithms using leave-one-out cross-validation: decision tree classifier, random forest (RF), light gradient boosting machine (LGBM), and categorical boosting (CAT). The performance analysis of models is evaluated using balanced accuracy before and after using Shapley Additive exPlanations with recursive feature elimination (SHAP-RFECV) method.

Results: Our results show that feature selection with CAT or RF algorithms have the best overall performance in discriminating early cognitive decline spectrum mainly using MRI imaging features.

Conclusion: Use of CAT or RF algorithms with SHAP-RFECV shows good discrimination of early stages of aging cognitive decline, mainly using MRI image features. Further work is required to analyze the impact of selected brain regions and their correlation with cognitive decline spectrum.

Keywords: Aging, amyloid, atrophy, fluorodeoxyglucose F18, machine learning, multimodal imaging

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INTRODUCTION

Aging is a complex process that evolves deleterious changes in molecular and morphological levels leading to cognitive decline and increased risk of diseases and death. The population aging increases the prevalence of age-related brain diseases and syndromes, like dementia [1]. The main cause of dementia in the elderly population worldwide is Alzheimer's disease (AD), a multifactorial progressive and irreversible neurodegenerative disease [2].

AD was first defined as a clinical-pathologic entity based on clinical history, neurological examinations, cognitive testing, and neuroimaging [3], with definitive diagnosis by autopsy [4]. In 2011, the National Institute on Aging and Alzheimer's Association created separate diagnostic recommendations for the preclinical, mild cognitive impairment (MCI), and dementia stages of AD. The definition of AD in living people is biologically identified by an ensemble of neuropathological changes, like amyloid- β ($A\beta$) and tau in abnormal levels, determined by *in vivo* biomarkers and postmortem evaluation without considering the clinical symptoms in a research framework. In clinical practice, clinical symptoms are still the main diagnosis of dementia. However, in the absence of clear threshold values to define abnormal levels of $A\beta$ and tau, clinical-pathological evaluation is still used, dividing the cognitive continuum into three traditional categories, healthy cognitive non-impaired individuals (CNI), MCI, and dementia, with dementia further subdivided into mild, moderate, and severe stages [4]. Neuropathological AD changes begin several decades before cognitive impairment. Drugs can temporarily relieve symptoms but do not stop or slow down the pathological damage, leading to the idea that preventive and treatments may be more effective in the early phases [1, 5].

Several neuroimaging modalities have been used to investigate, diagnose, and predict early dementia. Magnetic resonance imaging (MRI) identifies neuronal/synapse loss and atrophy. Positron emission tomography (PET) using ^{18}F -fluorodeoxyglucose (FDG PET) enables glucose metabolism assessment, and amyloid- β tracers quantify protein burden (AMY PET). The combination of neuroimaging and artificial intelligence techniques, like machine learning (ML), has been increasing in the last years, aiming to predict dementia development and classify individuals based on image features and neuropsychological test scores. The neuroimaging technique more present in the literature associated with ML

methods is the MRI, followed by PET images, achieving mean classification accuracies of 74.5%, for MRI alone, 76.9% for PET images, and 77.5% when combined both modalities [6]. Despite recent developments in classification and prediction models in cognitive decline progression using image features, current literature focuses on comparing CNI versus MCI, MCI versus AD, and CNI versus AD [2, 7–15]. Investigating early conversion using image features is still challenging and requires further investigation.

In this study, we propose to investigate tree-based ensemble models to classify individuals in the cognitive decline spectrum by using features extracted from single imaging modalities (FDG PET, AMY PET, and MRI) and combinations of imaging modalities (FDG PET+AMY PET+MRI, and a PET ensemble) to verify which combination of features and algorithm performs better. We evaluate the performance of four algorithms before and after feature selection using Shapley Additive Explanations with recursive feature evaluation and cross-validation (SHAP-RFECV) to classify four groups: stable CNI, healthy individuals who just ended up with MCI referred to as converters (CONV), stable MCI, and those with Alzheimer's clinical syndrome (ACS). Our results showed that combining SHAP-RFECV with the categorical boosting, and the random forest algorithms showed good performance discriminating early cognitive decline. Features extracted from MRI achieve higher accuracy in the discrimination of CNI from all other groups. The classification using the multimodal combination of all images achieves higher accuracies than the PET ensemble.

MATERIALS AND METHODS

Image dataset

We use FDG PET, AMY PET (acquired with ^{11}C -PiB or ^{18}F -AV45), and structural T1-weighted MRI retrieved from the Alzheimer's Disease Neuroimaging Initiative (ADNI, <http://adni.loni.usc.edu>) database to train and evaluate our models. ADNI was launched in 2003 as a public-private partnership led by Principal Investigator Michael W. Weiner, MD. Inclusion and exclusion ADNI criteria can be found in their general procedure manual (<http://adni.loni.usc.edu/methods/documents/>). FDG PET and MRI were acquired on the same day, while AMY PET was acquired on different days or visits. PET and MRI acquisition protocols can be found on the ADNI website.

For our study, data from individuals are grouped into four classes (CNI, CONV, MCI, and ACS) related to their cognitive assessment in the baseline and follow-up, using the criteria described in the following paragraphs.

CNI individuals have no memory complaints, normal memory function documented by scoring at specific cutoffs described in ADNI protocol. In addition, our sample remains cognitively healthy for more than 5 years in the follow-up.

CONV individuals are characterized as CNI in the baseline, converting to MCI in the follow-up years, based on their cognitive scores according to ADNI protocol. Image inclusion criteria include images that were acquired between six months before conversion to MCI and one year after conversion to avoid fluctuations with subjects that are stable in their diagnosis as CNI or MCI.

MCI are patients with memory complaints and abnormal memory function documented by scoring below the adjusted education cutoff described in the ADNI protocol. Our MCI individuals are stable for at least 5 years follow-up.

ADNI protocol classified ACS individuals as “probable AD” because they have memory complaints, abnormal memory function, and NINCDS/ADRDA (National Institute of Neurological and Communicative Diseases and Stroke/Alzheimer Disease and Related Disorders Association) criteria for probable AD.

All stable individuals (CNI, MCI, and ACS) were randomly chosen in the ADNI dataset if they attended the inclusion criteria of at least 5 years of stability in their diagnosis.

Table 1 shows the number of individuals in our sample, with all three imaging modalities (FDG PET, AMY PET, and MRI) and those with only FDG PET and MRI and demographic information.

MRI was acquired on the same day as FDG PET images. MRI acquired on the same day of AMY PET images was used for processing purposes but was not included in the analysis. Individuals with images of three modalities were the same

as those included in the only FDG PET and MR images.

We checked each PET to assure scattering and attenuation correction. We selected only MRI acquired on the same day or the nearest date to PET. Image quality was visually inspected after download. Images with poor quality, missing brain parts (usually the cerebellum), and non-standardized PET time frames (for FDG PET 6 frames or 30 min, and AMY PET 4 frames or 20 min) were excluded.

There is a statistically significant difference between age, demonstrated by one-way ANOVA (for FDG PET/MRI $F=17.451$, $p<0.05$; for AMY PET $F=13.049$; $p<0.05$). Tukey’s post hoc test showed that CNI and CONV are statistically older than MCI and ACS ($p<0.05$) in FDG/MRI. There is no significant difference between CNI and CONV ($p>0.05$).

There is a slight gender difference, with $\chi^2=7.711$, $p=0.052$, for FDG PET/MRI, primarily due to the small number of females in the CONV group. For AMY PET, the χ^2 test does not show a significant statistical difference between gender in CNI, CONV, MCI, and ACS ($\chi^2=6.609$, $p=0.085$).

According to the one-way ANOVA, there was no statistically significant difference between groups in years of education (for FDG PET/MRI $F=0.385$, $p=0.764$; for AMY PET $F=1.958$; $p=0.125$).

Image preprocessing

We processed all images in a pipeline using PMOD® (<https://www.pmod.com/web/>) version 4.0 and SPM12 (<https://www.fil.ion.ucl.ac.uk/spm/software/spm12/>) software. Pixel interpolation (1 mm³) is applied in all images before processing to harmonize the data extracted from different matrix sizes. A flowchart overview of the applied methodology used in this work is presented in Supplementary Figure 1.

PET processing

Initially, motion correction is applied using normalized mutual information in PMOD®, with the

Table 1
Demographics

Group/ Modality	Sample size		Age (y)		Gender (M/F)		Education (y)	
	All modalities	FDG PET/MRI	FDG PET/MRI	AMY PET	FDG PET/MRI	AMY PET	FDG PET/MRI	AMY PET
CNI	22	36	79.6 ± 5.5	80.5 ± 4.4	18/18	11/11	16.0 ± 3.6	17.3 ± 2.6
CONV	16	24	81.7 ± 4.4	81.8 ± 4.9	19/5	13/3	16.4 ± 3.2	16.1 ± 3.4
MCI	40	40	71.6 ± 6.8	71.8 ± 7.1	19/21	19/21	16.1 ± 2.5	16.1 ± 2.5
ACS	29	31	73.3 ± 8.3	75.6 ± 7.9	20/11	19/10	15.54 ± 2.79	15.4 ± 2.7

217 first frame (5 min) as reference. Then, the average
 218 PET image is calculated in the last 15 min for FDG
 219 PET and the last 20 min for AMY PET.

220 In SPM12, the image origin is manually positioned
 221 in the anterior commissure-posterior commissure
 222 brain line. PET and MRI co-registration is made with
 223 trilinear interpolation. Individual MRI segmentation
 224 of white matter (WM), gray matter (GM), and cere-
 225 brospinal fluid (CSF) are realized in the MNI space.
 226 Subsequently, PET is normalized to the MNI space.
 227 Finally, a whole-brain mask based on WM, GM, and
 228 CSF MRI segmentation is applied to the PET image
 229 smoothed with a gaussian filter of 8 mm kernel. In the
 230 end, all PET images have 91 x 109 x 91 pixels, with
 231 a 2 mm isotropic voxel size.

232 *MRI processing*

233 MRI is processed using the Computational
 234 Anatomy Toolbox (CAT, [http://www.neuro.uni-](http://www.neuro.uni-jena.de/cat/)
 235 [jena.de/cat/](http://www.neuro.uni-jena.de/cat/)) for volume estimation in the GM brain
 236 regions after cropping to remove extra tissues, as the
 237 neck and shoulders. Images are initially denoised
 238 with a spatial adaptive non-local means denoising
 239 filter, bias-corrected, affine-registered to template
 240 space, and segmented in GM, WM, and CSF.
 241 Then, a skull-stripping is realized, and brain par-
 242 cellation in right and left hemispheres, subcortical
 243 areas, and the cerebellum. Subsequently, a local
 244 intensity transformation of all tissue classes and
 245 adaptive maximum a posteriori (AMAP) segmenta-
 246 tion is performed. Finally, the AMAP segmentation
 247 is refined by applying partial volume correction,
 248 and tissues are spatially normalized to a com-
 249 mon reference space using DARTEL (Diffeomorphic
 250 Anatomical Registration Through Exponentiated
 251 Lie Algebra). Further details can be found in
 252 the CAT12 toolbox Manual ([http://www.neuro.uni-](http://www.neuro.uni-jena.de/cat12/CAT12-Manual.pdf)
 253 [jena.de/cat12/CAT12-Manual.pdf](http://www.neuro.uni-jena.de/cat12/CAT12-Manual.pdf)). In the end, all
 254 MR images have 91 x 109 x 91 pixels, with a 2.0 mm
 255 isotropic voxel size, and are smoothed with a gaussian
 256 filter of 6.0 mm kernel.

257 *Classification algorithms*

258 We evaluate the performance of four classification
 259 models using scikit-learn [16], LightGBM [17], and
 260 CatBoost [18] libraries, with Python version 3.6.5.
 261 The classifier algorithms are ensemble and tree-based
 262 and have an increased level of complexity, described
 263 in the following sub-sections. These algorithms were
 264 chosen based on the applicability of SHapley Addi-
 265 tive exPlanations with recursive feature elimination

(SHAP-RFECV, described on section “Feature Selec- 266
 267 tion”) method, which allows interpretability of the
 268 selected features, and because they are powerful tools
 269 that have been used to provide easy-to-interpret pre-
 270 dictive results based on decisions trees.

Decision tree classifier

271 A decision tree classifier (DTC) is a non- 272
 273 parametric supervised learning method that produces
 274 a classification model by splitting data using simple
 275 decisional rules. It is extensively applied in many pat-
 276 tern recognition problems such as remotely sensed
 277 multisource data classification, medical diagnosis,
 278 speech, and character recognition. Some issues are
 279 created using DTC, as pointed out by Safavian and
 280 Landgrebe [19]. However, a truly optimal solution
 281 concerning the choice of the decision tree structure,
 282 feature subsets, and decision rule strategies is yet far
 283 from realization [19, 20]. Our study uses the clas-
 284 sification implemented in scikit-learn ([https://scikit-](https://scikit-learn.org/stable/modules/tree.html#tree)
 285 [learn.org/stable/modules/tree.html#tree](https://scikit-learn.org/stable/modules/tree.html#tree)) with the
 286 best split strategy, optimizing the criterion for infor-
 287 mation gain between Gini impurity and entropy and
 288 the maximum number of features for the best split.

Random forest

289 Random forest (RF) is a classifier that aims to avoid 290
 291 overfitting mainly by adding two sources of random-
 292 ness in the training stage. The first source is that each
 293 tree in the forest is made from a sample of the original
 294 training data. The second one is that when splitting a
 295 tree node, the algorithm uses only a random subset of
 296 all the features. After training all the trees, the model
 297 chose the prediction based on the most selected fea-
 298 tures or average prediction probabilities [21]. We use
 299 the scikit-learn implementation of RF, using the aver-
 300 age prediction probabilities approach. We maintain
 301 the maximum number of features to consider when
 302 seeking for best split set as automatic. The parameters
 303 used for RF optimization are the number of estima-
 304 tors, the criterion (Gini impurity or entropy), the need
 305 for bootstrap, and where to use out-of-bag samples to
 306 estimate the generalization score.

Light gradient boosting machine

307 Light gradient boosting machine (LGBM) is an 308
 309 ensemble model of decision trees aiming to reduce
 310 the complexity of histogram building by reducing
 311 the data. Two main techniques are used and have
 312 more efficiency and less memory usage. The first one
 313 is the gradient-based one side sampling technique,
 314 which uses only the instances with the most signifi-

315 cant gradients to maximize the information gain and
316 randomly drop the instances with small gradients.
317 Thus, the technique reduces the dimensionality in
318 the dataset and then reduces the training and pre-
319 diction time. The second technique uses exclusive
320 feature bundling to reduce the problem’s dimension-
321 ality using graphs and solve the problem with a
322 constant approximation ratio [17]. Our study uses the
323 gradient boosting decision tree and binary learning
324 task with the following hyperparameters: the number
325 of estimators, the number of leaves, minimum child
326 weight, and samples.

327 *Categorical boosting*

328 Categorical boosting (CAT), also known as Cat-
329 Boost, is a gradient boosting algorithm that handles
330 categorical features during the training phase, dif-
331 ferent from others that need to be addressed during
332 the preprocessing step. Although CAT is designed
333 mainly to deal with categorical features, it is possible
334 to run over a dataset with continuous features. The
335 primary motivation of CAT is to avoid the prediction
336 shift of traditional gradient boosting models. Instead,
337 it uses ordered boosting, which creates a given num-
338 ber of sub-datasets based on the permutation of the
339 original data to train the model. CAT also differs in the
340 use of oblivion trees with a more robust regulariza-
341 tion due to the restriction in the building processes
342 and better computational performance due to limi-
343 tations in the feature’s splits per tree level [18]. In
344 our study, we used the CAT as an ordered gradient
345 boosting on decisions trees with loss function, learn-
346 ing rate, bagging aggressivity for Bayesian bootstrap,
347 the coefficient at the L2 regularization term of the cost
348 function, depth of the tree, overfitting detector type,
349 and threshold as parameters for model tuning.

350 *Feature extraction*

351 Imaging features are vectorized, with rows rep-
352 resenting the individuals, and columns the imaging
353 features extracted from the following brain regions:
354 amygdala, brainstem, caudate nucleus, cerebellum,
355 cingulate gyri, corpus callosum, frontal lobe, hip-
356 pocampus, insula, nucleus accumbens, occipital lobe,
357 occipital lobe cuneus, pallidum, parietal lobe, puta-
358 men, temporal lobe, thalamus, and ventricles.

359 PET imaging features are composed of the mean
360 uptake of the previous brain regions normalized
361 by the ratio between each voxel and the whole-
362 brain mean uptake, extracted from Hammers N30R83
363 atlas [22] overlapped in PET using an in-house

364 MATLAB code to produce a brain region-based
365 analysis. The normalization avoids the variability
366 of PET images acquired in different institutions or
367 equipment.

368 MR imaging features are the volumes of the previ-
369 ous brain regions normalized by the total intracranial
370 volume using the Hammers N20R67 atlas [6].

371 *Feature selection*

372 We use Shapley additive explanations (SHAP)
373 combined with the recursive feature elimination with
374 cross-validation (RFECV) for imaging feature selec-
375 tion.

376 SHAP is an additive feature attribution method
377 based on the Shapley values from the game theory
378 that assigns an “importance value” for each feature
379 for a particular prediction. The method calculates the
380 contribution of each feature individually, allowing
381 comparison between different models and analyzing
382 the feature influence against the feature value. Unlike
383 other explainable methods, SHAP perturbs all sub-
384 sets of features, dealing with the interaction between
385 features [16, 17].

386 The RFECV is a dimensionality reduction algo-
387 rithm that recursively constructs the model, chooses
388 the least important variable, removes the feature with
389 the lowest importance until the desired number of fea-
390 tures or the set of features gives the best performance.
391 RFECV method uses the impurity index (Gini impu-
392 rity) for tree-based models to select features, handling
393 with nonlinear relation between features [18, 19].
394 However, the impurity shows only the features’ fre-
395 quency and magnitude in the tree-based model and
396 not its importance. Thus, features with atypical values
397 have more chance to be considered the most impor-
398 tant feature, increasing bias in the selection. In our
399 work, we used the combination of SHAP and RFECV
400 to avoid bias in feature selection.

401 The feature selection uses 10-folds cross-
402 validation, eliminating 10% of image features with
403 the smallest SHAP values in each fold. We use the
404 set of features that achieves the highest area under
405 the curve (AUC) of the receiver operating character-
406 istic (ROC) curve in a training dataset with 80% of
407 the whole dataset after the 10-folds cross-validation.

408 *Evaluation strategy*

409 The algorithms presented in section “Classifica-
410 tion algorithms” are evaluated before and after feature
411 selection. They are tuned and evaluated with the best

parameters. More details are presented in the following sub-sections:

Hyperparameter tuning

Each model was tuned using a randomized search with cross-validation from the sci-kit learn library to optimize the classification. The method uses a range of values of set parameters randomly to optimize the model seeking the parameters that give the highest sensitivity between all tested parameters combinations. We chose to run 100 iterations for each model, using leave-one-out cross-validation (LOOCV).

Performance metrics

The performance metrics used for the classifiers' comparison are the balanced accuracy, accuracy, and the number of selected features. We chose to mainly use balanced accuracy to compare our results due to its joint representation of sensitivity and specificity than accuracy itself. Accuracy is only used to compare our results with the literature.

The balanced accuracy of a model is calculated as follows:

$$\begin{aligned} \text{Balanced accuracy (Bacc)} &= \frac{1}{2} (\text{sensitivity} + \text{specificity}) \\ &= \frac{1}{2} \left(\frac{TP}{TP + FN} + \frac{TN}{TN + FP} \right), \end{aligned}$$

where TP=true positive, TN=true negative, FP=false positive, and FN=false negative.

Interpretation of selected features

Interpretation of selected features by the SHAP-RFECV model is obtained with the SHAP interpreter trained in 80% of data, with hyperparameter tuning with randomized search strategy with 10-folds cross-validation seeking for the highest area under the curve of the receiving operating curve. To an unbiased interpretation of the selected features, the trained model is evaluated in the test dataset (20%).

Classification experiments

We investigate three binary problems to classify individuals in the cognitive decline spectrum: CNI versus CONV, CNI versus MCI, and CNI versus ACS. For each binary task, we tested features extracted from FDG PET, AMY PET, and MRI modalities separately, a multimodality approach using features

extracted from all images, and features extracted a combination of both FDG and AMY PET images. All imaging features are concatenated in a vector for the same individual.

We evaluate the performance of four classification models (DTC, RF, LGBM, and CAT) before and after the feature selection using SHAP-RFECV. Additionally, we perform a randomized search with LOOCV for hyperparameter tuning in the models before and after feature selection.

RESULTS

Results reveal feature selection using SHAP-RFECV method improved the balanced accuracy of the classification models. However, exceptions occurred mainly for DTC and LGBM algorithms. The highest balanced accuracy difference between before and after feature selection was 26%.

Figure 1 shows the number of features selected by imaging modality for each pairwise comparison using the combination of SHAP and RFECV.

Figures 2–4 show the balanced accuracy, confidence interval values, and the p-value of the two groups non-parametric Wilcoxon test for paired data for the classification models before feature selection (DTC-1, RF-1, LGBM-1, CAT-1) and after feature selection (DTC-2, RF-2, LGBM-2, CAT-2) for each binary classification task (CNI versus CONV, CNI versus MCI, and CNI versus ACS).

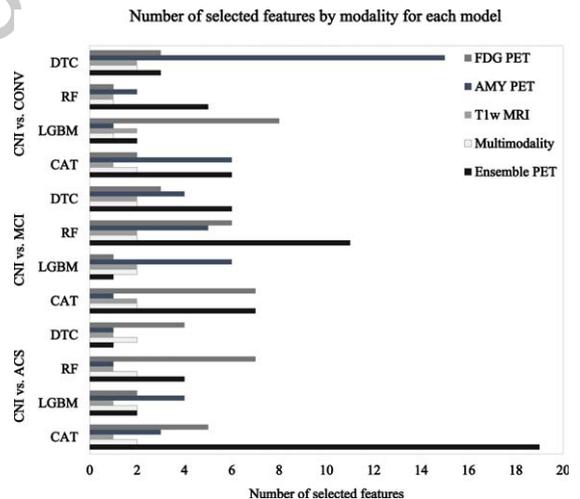


Fig. 1. Number of selected features for each pairwise comparison in single and multimodality imaging approaches for all classification models.

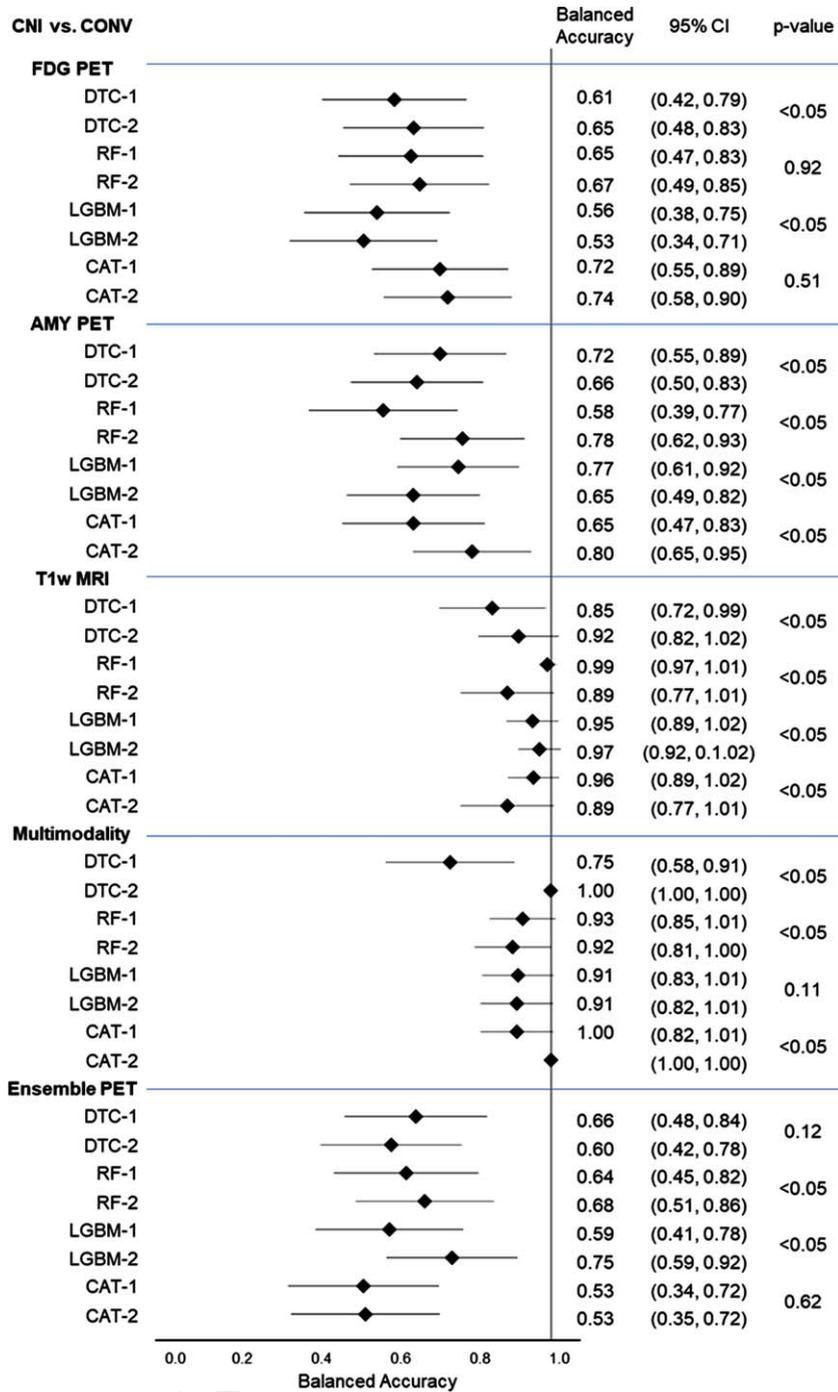


Fig. 2. Balanced accuracy with variance, and 95% confidence interval (CI) for each classification model before feature selection (Model-1) and after feature selection (Model-2), for the binary classification task CNI versus CONV.

DISCUSSION

This study investigates ensemble with tree-based algorithms to classify individuals in the cognitive decline spectrum by using features extracted from

single imaging modalities (FDG PET, AMY PET, and MRI) and combinations of imaging modalities (FDG PET+AMY PET+MRI, and a PET ensemble). We study the effect of feature selection in the classification of healthy cognitive non-impaired

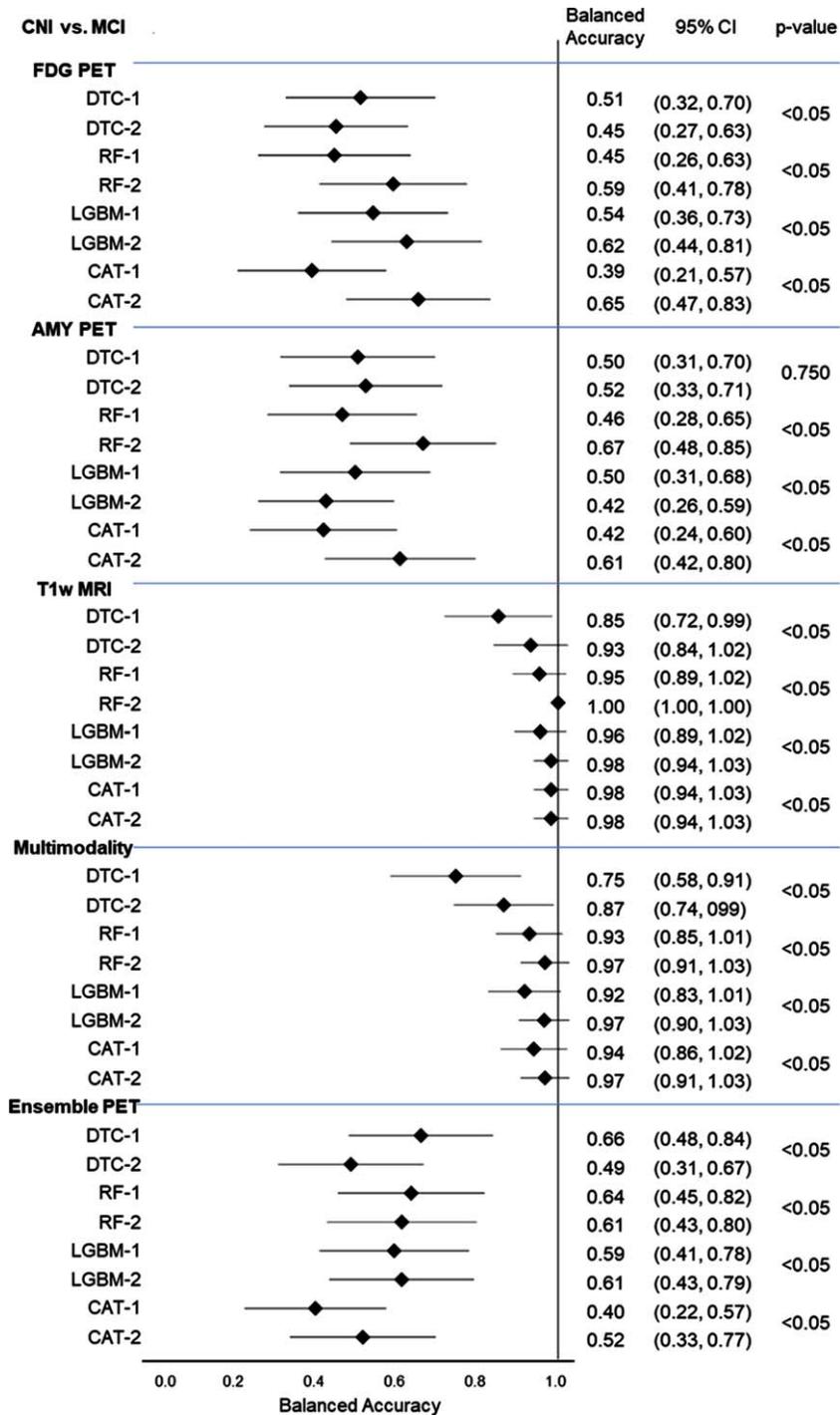


Fig. 3. Balanced accuracy with variance, and 95% confidence interval (CI) for each classification model before feature selection (Model-1) and after feature selection (Model-2), for the binary classification task CNI versus MCI.

individuals (CNI) in a pairwise comparison with converters (CONV), MCI, and ACS.

Estimating the features' importance for classification in neuroimaging is valuable because it allows

assessing the features contributing to the classifier. It can potentially identify, for example, regions or structures with a biologically plausible connection to the pathology. The feature selection is particularly inter-

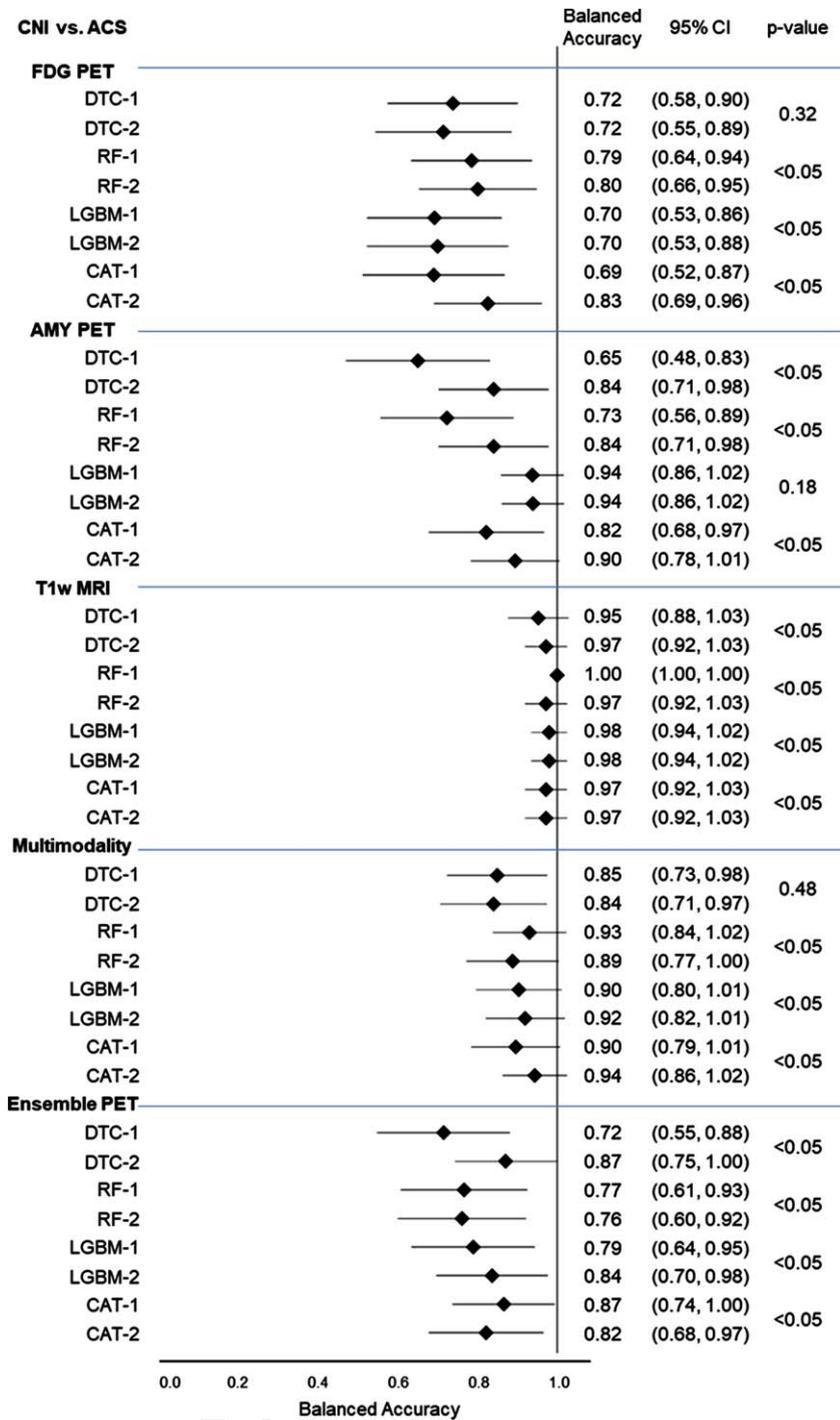


Fig. 4. Balanced accuracy with variance, and 95% confidence interval (CI) for each classification model before feature selection (Model-1) and after feature selection (Model-2), for the binary classification task CNI versus ACS.

494 esting in studying cognitive decline using imaging
 495 features to connect the disease evolution and radiomic
 496 features.

Several methods and algorithms are already imple-
 mented to select features in ML models based on
 univariate group-level statistical tests, filtering, and

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wrapper methods, like SHAP-RFECV, used in our study. Each method has its particularities, advantages, and disadvantages. Feature reduction methods are excellent and usually provide higher accuracies because they use all the variance of feature information in a small feature space, like the principal component analysis. However, the information about the importance of each feature is lost in the process. Statistic-based features have the advantage of being independent of model performance. However, they are sensitive to the group mean, leading to the loss of discriminatory information due to exclusion [23]. Like Pearson's correlation, filtering methods are independent of the algorithm performance, but most methods treat the features independently, ignoring their relationships [23]. Wrapper methods consider the feature selection as a search problem and eliminate features based on features weights assigned by the best performance on an external estimator. SHAP feature importance, used in our study, is a way to get each feature influence in the prediction, even more for a tree-based model, due to the lack of information when using only the impurity as a measurement for the feature importance.

Our sample size in the groups varied from 16 to 40 subjects, a small number compared to the number of imaging features. In some cases, a ratio of a sample size to features was almost 1 : 1 (i.e., CNI versus CONV, with 38 subjects for 36 image features in the PET ensemble approach). According to Vabalas et al. [24], if the ratio of features to sample size is high, the classification model tends to fit the noise of data instead of the underlying pattern and overfitting. Our results showed an overall improvement in the classification models' balanced accuracy with the feature selection. The SHAP-RFECV ensures to avoid bias in feature selection, and its use shows to reduce problems of fitting to noise [25].

Our results show that the features extracted from the MRI approach produce the highest performance for all models in all binary classification tasks. Our MRI features are the mean volume of cortical GM brain regions normalized by the estimated intracranial volume based on Hammers' atlas. Measures of cortical thickness and subcortical volumes are the most used biomarkers related to structural neurodegeneration in AD and cognitive decline [8]. For the four different algorithms, one MRI imaging feature was consistently selected in all binary classification tasks: the parietal lobe (Supplementary Table 1). The parietal lobe comprises the precuneus and regions of the somatosensory and visuospatial cortex and is

involved in higher cognitive functions [28]. Previous works showed the volume of parietal structures is predictive of conversion from MCI to AD [20, 21]. In our sample, CNI individuals presents higher parietal volumes than the other three groups (data not shown) being possible to verify that this region could be used as an early marker of neurodegeneration, considering that the CONV group is in the same age group as the HC, and that MCI, and AD groups are about 10 years younger. Following the literature, the MRI feature selected together with the parietal lobe in the binary tasks (CNI versus CONV and CNI versus MCI) was the frontal lobe, which plays a part in monitoring and controlling processes that support memory [29], language, and visuoconstructive abilities [30]. Moreover, the frontal theory of cognitive aging suggests that the frontal lobe is responsible for the decline in memory, attention, and cognitive flexibility that accompany healthy aging [31], supporting our results. In our sample, frontal lobe of CONV, MCI, and ACS groups overlap themselves, while CNI individuals presents smaller volume compared to them (data not shown). It is important to note that CNI and CONV groups are about 10 years older than MCI and ACS groups, and smaller volumes of this region is expected even in non-impaired individuals. We hypothesize that in the presence of all four groups with the same average age, the frontal lobe was going to show smaller volumes in the MCI and ACS groups, related to cognitive decline in these subjects. However, more data is necessary to conduct this analysis.

Our study shows AMY PET usually outperforms FDG PET in all binary classification tasks when the features are extracted in a single PET modality approach. Trzepacz et al. [32] studied FDG PET, AMY PET, and MRI image features to predict MCI conversion to AD using the features individually and combined. They found that AMY PET and MRI features were more accurate in predicting a two-years conversion from MCI to AD. However, Xu et al. and Nozadi and Kadouri [7, 9] findings go on the contrary way. In a single modality analysis of FDG and ^{18}F -AV45 ($\text{A}\beta$ tracer) PET, FDG PET features slightly improved discriminating MCI from AD and CNI.

Combining both PET traces in an ensemble has maintained the mean overall accuracy in the classification tasks compared to single PET modalities. The combination of FDG and AMY PET in classification experiments is unusual because both modalities are not acquired together in clinical practice [32]. However, FDG and AMY PET provide valuable

604 and complementary information [35], as shown in
605 our results. Usually, the classification studies asso-
606 ciate FDG PET and MRI imaging features with CSF
607 biomarkers, including the $A\beta_{42}:A\beta_{40}$ ratio, total tau,
608 phosphorylated tau, and even genetic markers [8–11,
609 36]. However, CSF sampling is an invasive procedure,
610 requiring lumbar puncture and does not present loca-
611 tion and extension of the pathology, which is valuable
612 information in the earliest stages of $A\beta$ accumulation
613 [35]. Therefore, Chételat et al. [35] defend AMY PET
614 as a first-line diagnostic procedure, avoiding several
615 visits and unnecessary invasive interventions.

616 The classification model's performance was close
617 to the MRI approach in the multimodality imaging
618 analysis because feature selection was resumed to the
619 MRI features. MRI volume of the parietal and frontal
620 lobe was selected in all models in the multimodality
621 approach. Furthermore, for the CNI versus CONV
622 and CNI versus ACS, only parietal image features
623 were selected alone for the MRI single modality fea-
624 tures, showing the importance of these brain regions
625 in the cognitive decline (Supplementary Table 1).

626 In our work, SHAP-RFECV was used as a feature
627 selector for each model with all imaging features,
628 seeking not to exclude image features that generate
629 the highest AUC. However, MRI features had the
630 highest balanced accuracy and AUC for all models,
631 like a single modality. Therefore, it was expected that
632 it has more weight in the selection when combined
633 with PET features. Xu et al. [9] used the weighted
634 multimodality sparse representation-based classifica-
635 tion to integrate FDG PET, AMY PET, and MRI
636 features. They found that the imaging modalities con-
637 tributed differently depending on the classification
638 problem for different pairwise comparisons.

639 Tables 2 and 3 compare our best classification mod-
640 els' (RF and CAT) results with similar publications,
641 using single imaging modalities and a multimodal-
642 ity approach. Accuracy is used for direct comparison
643 (Supplementary Table 2). We did not find studies clas-
644 sifying between CNI and converters in the early stage
645 of MCI or using a PET ensemble of FDG and AMY
646 images to classify CNI versus CONV, MCI, or ACS
647 individuals.

648 Our results using AMY PET, MR single modal-
649 ities show similar performance in the classification
650 when compared to the literature. We did not find
651 studies using ML models to classify between CNI
652 and converters using PET and MRI. Although direct
653 comparison is not entirely appropriate due to dif-
654 ferent datasets (even different subjects in the same
655 dataset) and different algorithms (SVM, RF, CAT,

656 SRC, ELM), our results show good agreement with
657 the performance reported in the literature.

658 Our FDG PET approach resulted in lower accura-
659 cies, even for CNI versus ACS binary classification
660 task. Several aspects can explain the limited perfor-
661 mance. Our FDG PET data was averaged between
662 45 to 60 min post-injection, which is less usual
663 because usually PET images are averaged from 30
664 to 60 min post-injection. Furthermore, PET images
665 were acquired from several PET scanners, which can
666 lead to variations in the image quantification, affect-
667 ing the imaging features calculated as the mean value
668 of the normalized voxel intensity in the brain regions.
669 No direct corrections for these differences were per-
670 formed.

671 Moreover, we hypothesize that the use of large
672 volumes in brain parcellation may have obscured
673 metabolic FDG PET differences in smaller brain
674 regions. In our study, the parcellated brain volume
675 was an adaptation of Hammers atlas with 18 brain
676 regions, a low number compared to other studies.
677 Our option was supported by Samper-González et al.
678 [37]. They analyzed the influence of different atlases
679 consisting of 56 to 345 regions for brain parcella-
680 tion on the classification using MRI and FDG PET.
681 None provided differences in classification perfor-
682 mance for CNI versus AD, CNI versus progressive
683 MCI, and stable MCI versus progressive MCI. In our
684 work, the low performance in the classification using
685 FDG PET features can be attributed to the unspe-
686 cific FDG uptake in brain regions. The average uptake
687 over a brain region can obscure differences in hyper-
688 or hypometabolism detection. Likely, a brain par-
689 cellation could highlight minor differences in FDG
690 uptake between groups, especially in early decline.
691 We believe brain parcellation will not significantly
692 affect the classification performance using AMY PET
693 and MRI because both markers are more specifically
694 related to brain regions affected by the disease.

695 Some limitations are present in this study. Our
696 datasets are smaller compared with the literature
697 and get smaller in multimodality approaches because
698 we included only individuals with all three imaging
699 modalities. Moreover, our image features are normal-
700 ized mean values of brain regions, determined by a
701 modified Hammers' atlas in both the right and left
702 hemispheres, potentially obscuring laterality differ-
703 ences and differences in smaller regions such as the
704 cingulate cortex.

705 Another limitation of this study was the used sam-
706 ple size. In total, we had 131 individuals, distributed
707 into four diagnosis groups. The inclusion and exclu-

Table 2
Comparison between the literature and our work results in single imaging modality approach (FDG PET, AMY PET, and MRI)

Method	Imaging Modality	Algorithm	<i>n</i> of each study	Accuracy (%)		
				CNI versus CONV	CNI versus MCI	CNI versus ACS
Nozadi and Kadouri [7]	FDG PET	SVM	208 CNI, 164 Early MCI, 189 Late MCI, 99 ACS	–	63.3 / 63.5 ¹	91.7
Nozadi and Kadouri [7]		RF	–	56.7 / 65.4 ¹	91.2	
Garali et al. [13]		RF	61 CNI, 29 MCI, 91 ACS	–	76.6	91.5
Xu et al. [9]		SRC	117 CNI, 110 MCI, 113 ACS	–	71.8	90.9
Gray et al. [12]		RF	35 CNI, 41 stable MCI, 34 progressive MCI, 37 ACS	–	60.2	86.5
Gray et al. [34]		SVM	54 CNI, 64 stable MCI, 53 progressive MCI, 50 ACS	–	70.7 ²	80.9
Lin et al. [32]		ELM	200 CNI, 205 stable MCI, 110 progressive MCI, 102 ACS	–	–	76.7
Zhang et al. [11]		SVM	52 CNI, 99 MCI, 51 ACS	–	71.4	86.5
Pan et al. [2]		SVM	90 CNI, 88 MCI, 94 ACS	–	83.2	91.9
Our study		RF	36 CNI, 24 CONV, 40 MCI, 31 ACS	66.9	59.1	80.3
Our study		CAT	74.0	65.3	82.8	
Nozadi and Kadouri [7]	AMY PET	SVM	208 CN, 164 EMCI, 189 LMCI, 99 ACS	–	57.7 / 61.2 ¹	90.8
Nozadi and Kadouri [7]		RF	–	59.7 / 55.7 ¹	87.9	
Xu et al. [9]		SRC	117 CNI, 110 MCI, 113 ACS	–	70.5	83.7
Our study		RF	22 CNI, 16 CONV, 40 MCI, 29 ACS	77.7	66.5	84.2
Our study		CAT	80.1	60.8	89.6	
Xu et al. [9]	MRI	SRC	117 CNI, 110 MCI, 113 ACS	–	68.7	89.6
Gray et al. [12]		RF	35 CNI, 41 stable MCI, 34 progressive MCI, 37 ACS	–	69.1	82.1
Lin et al. [32]		ELM	200 CNI, 205 stable MCI, 110 progressive MCI, 102 ACS	–	–	74.5
Zhang et al. [11]		SVM	52 CNI, 99 MCI, 51 ACS	–	72	86.2
Toshkhujav et al. [8]		SVM	28 CNI, 32 ACS	–	–	91.7 ³
Our study		RF	36 CNI, 24 CONV, 40 MCI, 31 ACS	89.1	100	97.2
Our study		CAT	89.1	98.2	97.2	

¹Classification between CNI and early MCI/late MCI; ²Classification between CNI and prodromal MCI. ³Considering only ADNI dataset results. CAT, categorical boosting; ELM, extreme learning machine; RF, random forest; SRC, sparse representation-based classification; SVM, support vector machine.

Table 3
Comparison between the literature and our work results in multimodality approach

Method	Model	<i>n</i> of each study	Algorithm	Accuracy (%)		
				CNI versus CONV	CNI versus MCI	CNI versus ACS
Liu et al. [14]	FDG+MRI	52 CNI, 99 MCI, 51 ACS	SVM	–	78.8	94.4
Xu et al. [9]	FDG+AMY+MRI	117 CNI, 110 MCI, 113 ACS	wmSRC	–	74.5	94.8
Lei et al. [10]	PET+MRI+CSF	186 CNI, 393 MCI, 226 ACS	SVM	–	80.3	94.7
Zhang et al. [11]	FDG+MRI+CSF	52 CNI, 99 MCI, 51 ACS	SVM	–	76.4	93.2
Gray et al. [12]	FDG+MRI+CSF+Genetic	35 CNI, 41 stable MCI, 34 progressive MCI, 37 ACS	RF ¹	–	72.7	89
Gray et al. [12]	FDG+MRI+CSF+Genetic		RF ²	–	65.3	87.1
Lin et al. [32]	FDG+MRI+CSF+Genetic	200 CNI, 205 stable MCI, 110 progressive MCI, 102 ACS	ELM	–	–	84.7
Tong et al. [15]	FDG+MRI+CSF+Genetic	35 CNI, 75 MCI, 37 ACS	RF ²	–	73.1	86.2
Tong et al. [15]	FDG+MRI+CSF+Genetic		RF ³	–	79.5	91.8
Our study	FDG+AMY+MRI	22 CNI, 16 CONV, 40 MCI, 29 ACS	RF	90.3	96.7	88.9
Our study	FDG+AMY+MRI		CAT	100	96.7	94.4

¹Combined embedding features; ²Concatenated features; ³Non-linear fusion graphs. CAT, categorical boosting; CSF, cerebrospinal fluid; ELM, extreme learning machine; RF, random forest; SVM, support vector machine; wmSRC, weighted multimodality sparse representation-based classification.

sion criteria for the CONV group, aggregated with the possibility to have at least one PET and one MRI image in the determined interval between 6 months before and 12 months after clinical progression from CNI to MCI, has reduced our sample significantly. Further data are required to overcome these limitations and generalize our results.

It is important to state that FDG and AMY PET are rarely used in clinical practice for a joint analysis. Even AMY PET being more used in the suspect of dementia, mainly in the clinical signs of Alzheimer's pathology, and for differentiation between neurological disorders, FDG PET is still the most available radiotracer and is used in the absence of AMY PET. In this works, the authors wanted to show the contribution and potentialities of the use of both modalities together. Another point to be considered was the use of only biomarkers based on image data in this work. Future work will include clinical variables, e.g., age, sex, presence of *APOE* $\epsilon 4$, and CSF tau, in the model to improve the classification results.

CONCLUSION

Our work investigates ensemble tree-based classification models in early cognitive decline studies using features extracted from single and multimodality imaging approaches. In addition, our analysis includes the use of SHAP-RFECV as an unbiased feature selection, and early stages of aging cognitive decline, looking for subtle imaging differences indicating neurodegeneration.

The feature selection implemented with the Shapley additive explanations combined with the recursive feature elimination with cross-validation showed improvement in the classification models' accuracy. Among the studied models, the categorical boosting model and the random forest produced the best overall performance for classifying cognitively non-impaired individuals from early stages of cognitive decline, mild cognitive decline, and Alzheimer's clinical syndrome. Further work is required to analyze the impact on feature selection on the right and left-brain sides using an atlas with a higher number of regions to brain parcellation. Ongoing work includes a detailed evaluation of the selected brain regions and correlation with the cognitive decline spectrum in stable individuals and those that progress in the cognitive impairment.

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799 SUPPLEMENTARY MATERIAL

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